

# Antenatal Corticosteroids for Improving Preterm Newborn Survival in Low- Resource Countries

**The WHO ACTION Trials Collaborators**

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# Global burden of preterm birth

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**Nearly 15 million  
babies are born  
preterm each year**



Leading cause of neonatal and child mortality



Infants born preterm are at increased risk for a wide range of short-term and long-term respiratory, infectious, metabolic, and neurologic conditions, with higher risks among those born during the early preterm period

# Known effects of antenatal corticosteroids



**Roberts & Dalziel (2006)**

**When administered antenatally, corticosteroids (dexamethasone or betamethasone) can cross the placenta and accelerate maturation of fetal lung**

Efficacy trials conducted largely in high-resource countries have demonstrated that antenatal corticosteroids for women at risk of preterm birth can reduce risk of:



**Neonatal deaths**

*(RR 0.69, 95% CI 0.59 - 0.81)*



**Intraventricular haemorrhage**

*(RR 0.55, 95% CI 0.40 - 0.76)*



**Respiratory distress syndrome**

*(RR 0.66, 95% CI 0.56 - 0.77)*



**Necrotizing enterocolitis**

*(RR 0.50, 95% CI 0.32 - 0.78)*



**Moderate and severe RDS**

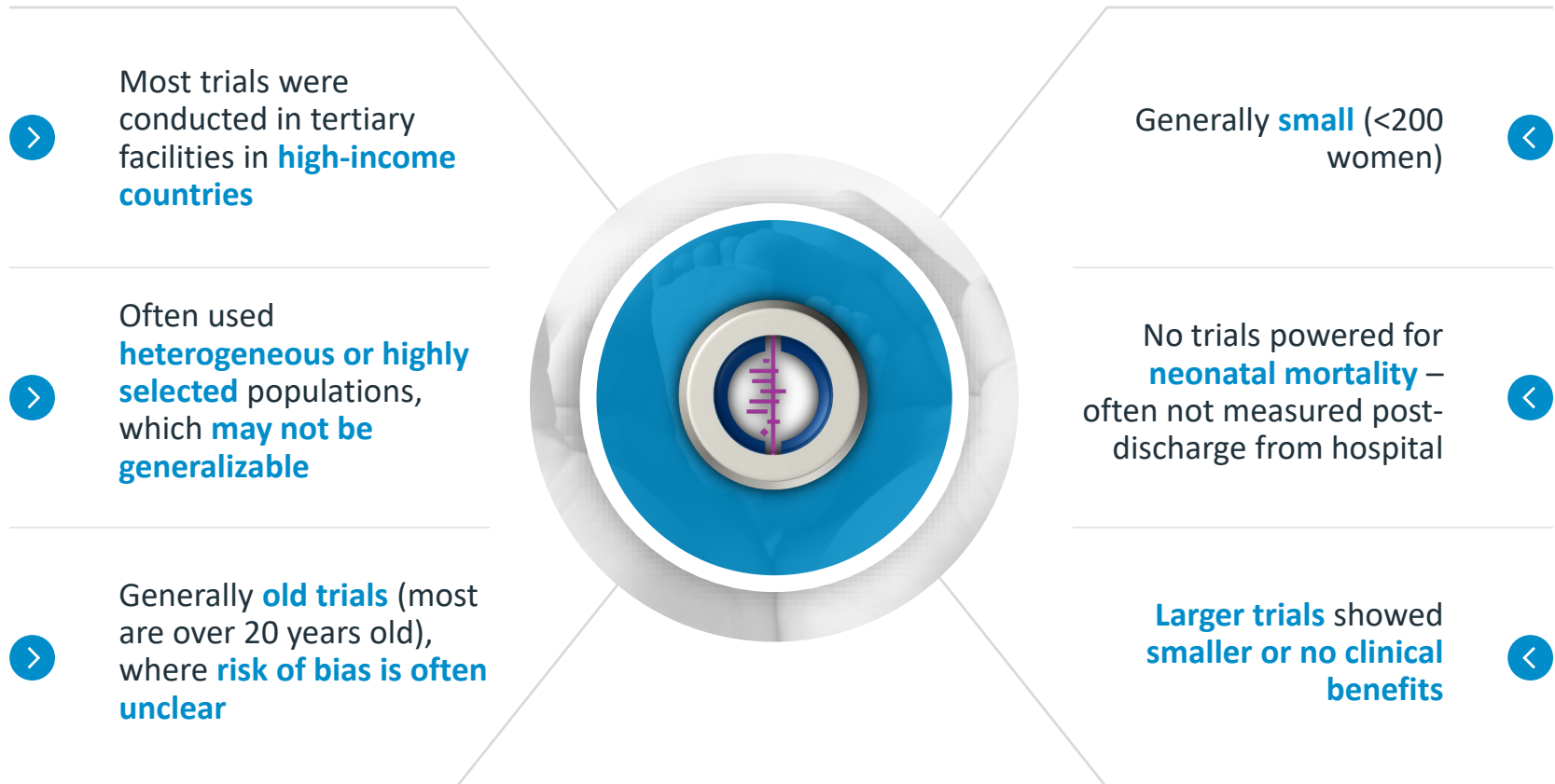
*(RR 0.59, 95% CI 0.38 - 0.91)*



**Systemic infection in first 48 h**

*(RR 0.60, 95% CI 0.41 - 0.88)*

# Known effects of antenatal corticosteroids



# Antenatal Corticosteroid Trial (2015)

Cluster-randomized trial of a package of interventions to scale up antenatal corticosteroids in low- and middle-income countries



**6 countries**

Guatemala, Kenya,  
Argentina, Zambia,  
India, Pakistan



**102**

clusters



**99,742**

mothers enrolled



**100,705**

babies



**18-month**

intervention



## Primary outcome:

neonatal death amongst neonates born at less-than-5th-percentile birth weight  
(as a proxy for preterm birth)

# Antenatal Corticosteroid Trial (2015)

Group	Outcome	RR (95% CI)	Interpretation
Among births <5th percentile infants	Neonatal death by 28 days	RR 0.96 (95% CI 0.99 – 1.06)	No benefit/harm
	Stillbirth	RR 0.99 (95% CI 0.90–1.09)	No benefit/harm
	Suspected maternal infection	OR 1.67 (95% CI 1.33–2.09)	Maternal harm
Among all births (population level)	Neonatal death by 28 days	RR 1.12 (95% CI 1.02 – 1.22)	Neonatal harm
	Stillbirth	RR 1.11 (95% CI 1.02 – 1.22)	Fetal harm
	Suspected maternal infection	OR 1.45 95% CI 1.33–1.58)	Maternal harm



# WHO recommendations on preterm birth (2015)



Recommendations developed according to WHO Guidelines Review Committee standards, and relevant to all settings



Concerns about harm led to inclusion of consensus-based criteria for antenatal corticosteroids use



# WHO recommendations on preterm birth (2015)



**Strong recommendation based on moderate-quality evidence for newborn outcomes and low-quality evidence for maternal outcomes**

**Antenatal corticosteroid therapy is recommended for women at risk of preterm birth from 24 weeks to 34 weeks of gestation when the following conditions are met**

- > gestational age assessment can be accurately undertaken
- > preterm birth is considered imminent
- > there is no clinical evidence of maternal infection
- > adequate childbirth care is available (including the capacity to recognize and safely manage preterm labour and birth)
- > the preterm newborn can receive adequate care if needed (including resuscitation, thermal care, feeding support, infection treatment and safe oxygen use)

# ACTION-I trial design

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Multicountry, multicenter, parallel group, double-blind, individually randomized, placebo-controlled trial to compare intramuscular dexamethasone with identical placebo in women at risk of imminent preterm birth

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Conducted at 29 secondary- and tertiary-level hospitals across six trial sites in Bangladesh, India, Kenya, Nigeria, and Pakistan

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## Participants

Pregnant women at risk of imminent preterm birth

26 weeks 0 days to 33 weeks 6 days



## Intervention

A course of 6mg dexamethasone IM injections every 12 hours, for a maximum of four doses, or until hospital discharge or birth

If undelivered by 7 days and still met the inclusion criteria, a single repeat course was used



## Comparison

Identical placebo (saline)



## Primary Outcomes

- Neonatal death (until 28 days after birth)
- Any baby death (post-randomization stillbirth or neonatal death)
- Possible maternal bacterial infection – composite outcome defined as maternal fever ( $\geq 38^{\circ}\text{C}$ ) or clinically suspected or confirmed infection for which therapeutic antibiotics were used



## Secondary outcomes

Maternal and newborn morbidity outcomes

Health care interventions and health service utilization outcomes

# Trial setting



Resource-limited hospitals in Bangladesh, India, Kenya, Nigeria and Pakistan, selected based on assessment of available maternal and neonatal services



Minimal out-referral of women at risk of imminent preterm birth, or preterm newborns



Human resource, referral and health service equipment challenges that are common to many low-resource settings



Facilities could reasonably meet the WHO antenatal corticosteroid treatment criteria

- Emergency obstetric care available
- Preterm newborn care available: resuscitation at birth, thermal care, breastmilk feeding support, parenteral infection treatment, safe oxygen use, access to hygiene, access to CPAP



Hospitals were provided with Philips HD5 Ultrasound systems, CPAP systems, pulse oximeters and glucometers to ensure that at least minimum quality of care was received by trial participants

# Screening and recruitment



Pregnant women who had confirmed live fetuses between 26 weeks 0 days and 33 weeks 6 days of gestation and who were at risk for preterm birth [defined as planned or expected birth in the next 48 hours (either provider-initiated preterm birth, or after PPROM or spontaneous labour)]



Gestational age must be determined by ultrasound (or an ultrasound was performed at admission),



## **Excluded if**

Clinical signs of severe infection,

Major congenital fetal anomalies

Concurrent or recent (within the previous 2 weeks) use of systemic corticosteroids

Contraindication to corticosteroids

Participating in another trial



Written informed consent was obtained from all the participants before randomization

# ACTION-I Trial

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Antenatal Corticosteroids for Improving  
Outcomes in preterm Newborns

**RESULTS**



# Key points



2852 women randomized  
– 1429 women to  
dexamethasone arm and  
1423 women to placebo



Most common reason for  
exclusion – “birth not  
planned or expected in  
next 48 hours”



>99% completed follow  
up



## Adherence to trial regimen:



All women (except one) received at least 1 dose



57% and 53% of women got all four doses of the first course in  
dexamethasone and placebo groups, respectively



Use of repeat course was minimal (<5%)





## Characteristics of the Participants at Trial Entry

>	<b>Indication for trial entry</b>	<b>Dexa vs Placebo</b>
	Spontaneous PTB	61.2% vs 60.3%
	Provider-initiated PTB	38.8% vs 39.7%
>	<b>Mean GA at trial entry</b>	30.8 vs 30.7 weeks
>	<b>Trimester ultrasound performed</b>	
	1st trimester	10.9% vs 10.3%
	2nd trimester	24.1% vs 23.1%
	3rd trimester	65.0% vs 66.5%
>	<b>Medication before randomization</b>	
	Tocolytic prior to randomization	17.6% vs 18.8%
	Magnesium sulfate for FNP	9.9% vs 12.6%



## Primary Outcomes

Outcome	Dexamethasone n/N (%)	Placebo n/N (%)	Relative risk (95% CI)*	P-value <sup>†</sup>
Neonatal death	278/1417 (19.6)	331/1406 (23.5)	0.84 (0.72-0.97)	0.03
Stillbirth or neonatal death	393/1532 (25.7)	444/1519 (29.2)	0.88 (0.78-0.99)	0.04
Possible maternal bacterial infection <sup>‡</sup>	68/1416 (4.8)	89/1412 (6.3)	0.76 (0.56-1.03)	0.002 <sup>§</sup>

> \* Relative risks and 95% confidence intervals, calculated from modeling, were adjusted for trial sites and accounted for clustering due to multiple births.

> † P values were adjusted for multiplicity for the three primary outcomes with the use of the false-discovery-rate approach.

> ‡ Possible maternal bacterial infection was defined as the occurrence of fever (temperature  $\geq 38^{\circ}\text{C}$ ) or clinically suspected or confirmed infection for which therapeutic antibiotics were used. Suspected or confirmed infection included obstetrical infection (chorioamnionitis, postpartum endometritis, or wound infection) or nonobstetrical infection (respiratory tract infection [pneumonia, pharyngitis, sinusitis, or a similar infection], urinary tract infection [excluding pyelonephritis], pyelonephritis, acute cholecystitis, or other system infection) captured during hospital admission or admissions only.

> § This P value was calculated for noninferiority.

# Neonatal secondary outcomes

Outcome	Dexamethasone n/N (%)	Placebo n/N (%)	Relative risk (95% CI)
Stillbirth	115/1544 (7.4)	113/1526 (7.4)	1.00 (0.78-1.30)
Early neonatal death ( $\leq 7$ days)	218/1417 (15.4)	268/1406 (19.1)	0.81 (0.68-0.96)*
Severe respiratory distress‡	116/1245 (9.3)	141/1223 (11.5)	0.81 (0.64–1.03)
Severe respiratory distress at 24 h	34/1122 (3.0)	58/1065 (5.5)	0.56 (0.37-0.85)*
Neonatal sepsis	183/1284 (14.3)	197/1264 (15.6)	0.92 (0.76-1.11)
Hypoglycaemia‡	301/1242 (24.2)	328/1217 (27.0)	0.91 (0.80–1.04)
Hypoglycaemia at 6 hours	92/1224 (7.5)	123/1194 (10.3)	0.73 (0.56-0.95)*

‡ Measured from the initial postnatal hospitalization until death, discharge, or completed day 7

# Neonatal secondary outcomes

Outcome	Dexamethasone n/N (%)	Placebo n/N (%)	Relative risk (95% CI)
Apgar score <7 at 5 min	276/1359 (20.3)	293/1368 (21.4)	0.95 (0.82-1.10)
Major resuscitation at birth	101/1382 (7.3)	144/1383 (10.4)	0.70 (0.55-0.88)*
Use of oxygen therapy‡	726/1429 (50.8)	756/1413 (53.5)	0.95 (0.88-1.02)
Use of CPAP‡	265/1429 (18.5)	337/1413 (23.9)	0.78 (0.67-0.90)*
Use of mechanical ventilation‡	83/1284 (6.5)	103/1264 (8.2)	0.79 (0.59-1.05)
Use of parenteral therapeutic antibiotics	527/1245 (42.3)	494/1175 (42.0)	1.00 (0.91–1.10)
Use of surfactant	9/1284 (0.7)	18/1264 (1.4)	0.49 (0.22-1.08)
Admission to a special care unit	905/1287 (70.3)	897/1268 (70.7)	0.99 (0.94-1.04)
Newborn readmission	39/1429 (2.7)	48/1413 (3.4)	0.81 (0.53-1.25)

‡ Measured from the initial postnatal hospitalization until death, discharge, or completed day 7

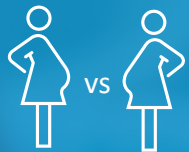
# Maternal secondary outcomes

Outcome	Dexamethasone n/N (%)	Placebo n/N (%)	Relative risk (95% CI)
Death	5/1429 (0.4)	4/1423 (0.3)	1.23 (0.33-4.57)
Fever	78/1417 (5.5)	70/1406 (5.0)	1.10 (0.80-1.50)
Chorioamnionitis	17/1429 (1.2)	18/1423 (1.3)	0.93 (0.48-1.80)
Endometritis	5/1429 (0.4)	3/1423 (0.2)	1.65 (0.39-6.92)
Wound infection	8/1429 (0.6)	15/1423 (1.1)	0.53 (0.22-1.25)
Nonobstetrical infection	34/1429 (2.4)	42/1423 (3.0)	0.81 (0.52- 1.26)
Use of therapeutic antibiotics	68/1427 (4.8)	89/1422 (6.3)	0.76 (0.56-1.03)
Any antibiotic use	1205/1353 (89.1)	1216/1355 (89.7)	1.00 (0.97-1.02)
Postpartum readmission	14/1429 (1.0)	13/1423 (0.9)	1.07 (0.50-2.26)

# Cause of neonatal death

Final cause of death	Dexamethasone (N=1417)	Placebo (N=1406)	Relative risk (95% CI)
Perinatal asphyxia – no. (%)	61 (4.3)	78 (5.5)	0.78 (0.56-1.07)
Respiratory distress synd. – no. (%)	113 (8.0)	156 (11.1)	0.72 (0.57-0.90)*
Neonatal sepsis – no. (%)	77 (5.4)	74 (5.3)	1.03 (0.76-1.41)
Other specific causes – no. (%)	18 (1.3)	12 (0.9)	1.49 (0.73-3.16)
Indeterminate – no. (%)	9 (0.6)	11 (0.8)	0.81 (0.33-1.96)

# Subgroup analyses



## Prespecified subgroup analyses of the primary outcomes

- > whether preterm birth was planned (yes vs no) – **no interaction**
- > GA at first dose – **no interaction**
- > no. of fetuses – **no interaction**
- > study site – **no interaction**
- > mode of birth – **no interaction**
- > time from first dose to birth – **no interaction**
- > use of tocolytic agent before preterm birth – **interaction (P=0.03)**



# Summary of findings

- > Reduced incidence of neonatal death alone and stillbirth or neonatal death
- > No increase in maternal bacterial infection
- > No effect on stillbirth
- > No evidence of maternal or newborn harms
- > Benefits observed even though 45% of participants received less than 4 doses of trial medication
- > Need to treat 25 women to prevent 1 newborn death



**Reduced risks of the following outcomes support primary outcome findings:**

Early neonatal death

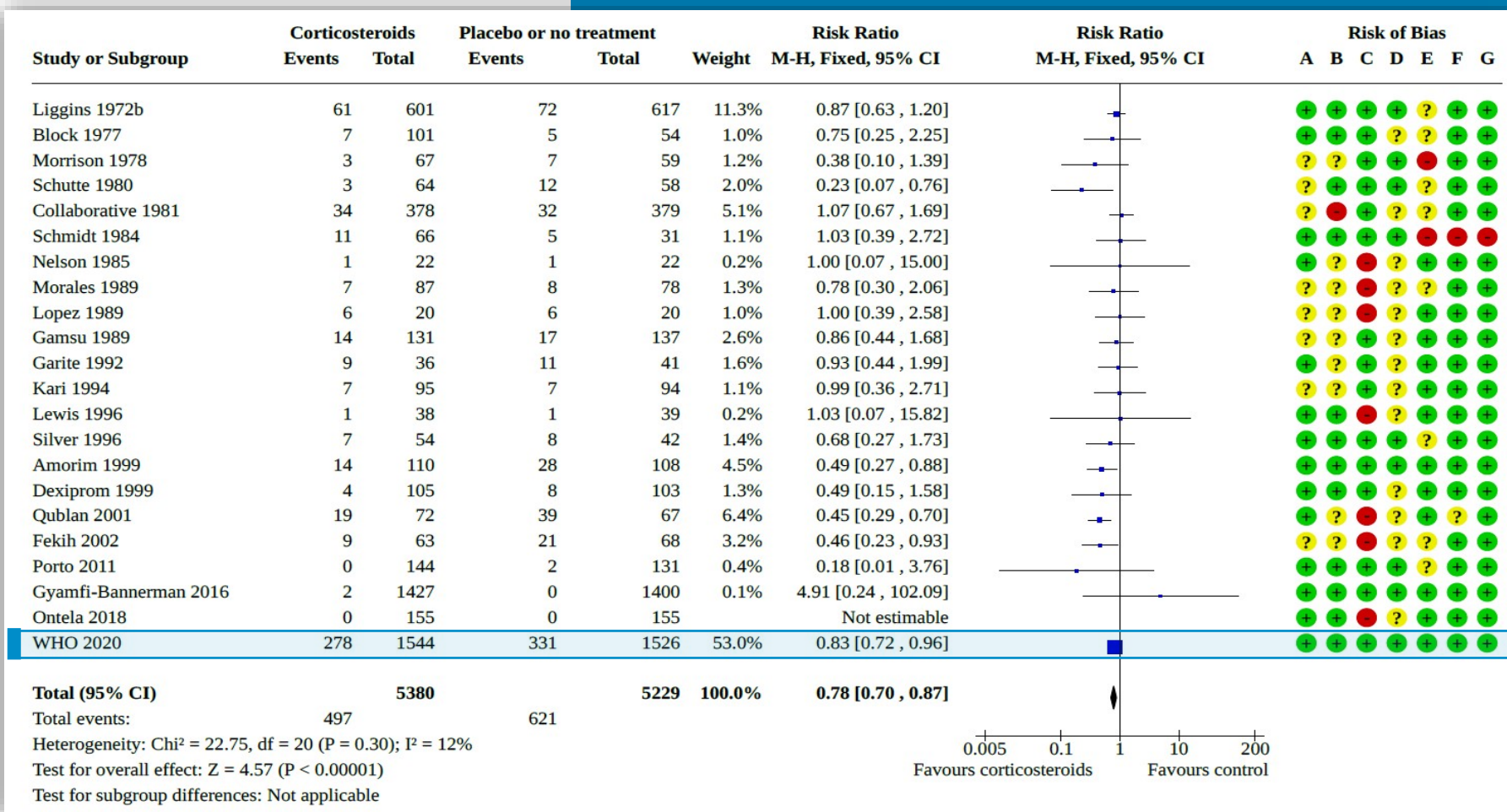
Severe respiratory distress at 24 hours

Resuscitation at birth

Use of CPAP

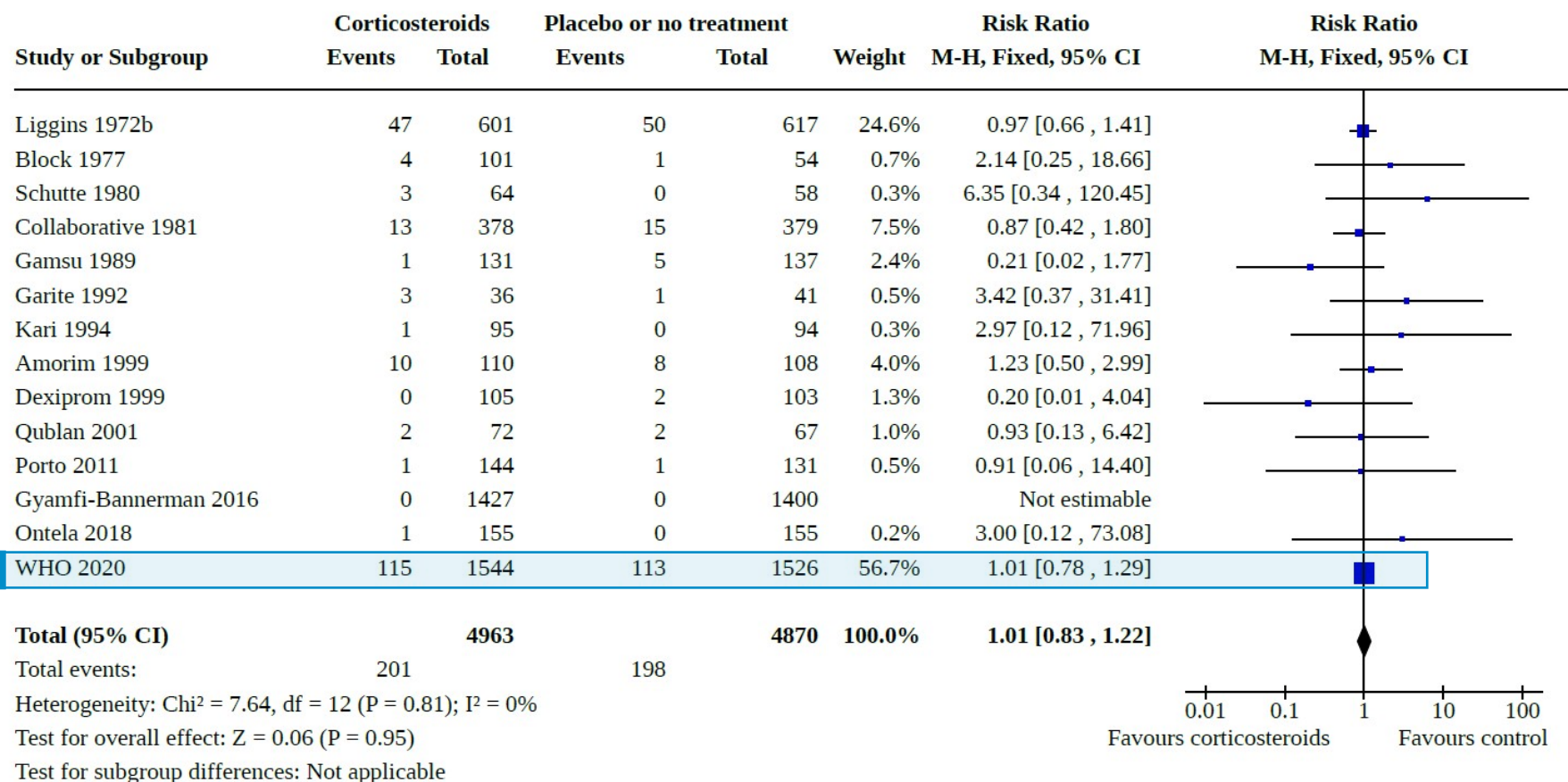
Neonatal hypoglycemia at 6 hours reduced, but no difference at 36 hours

# Updated global evidence base – neonatal death



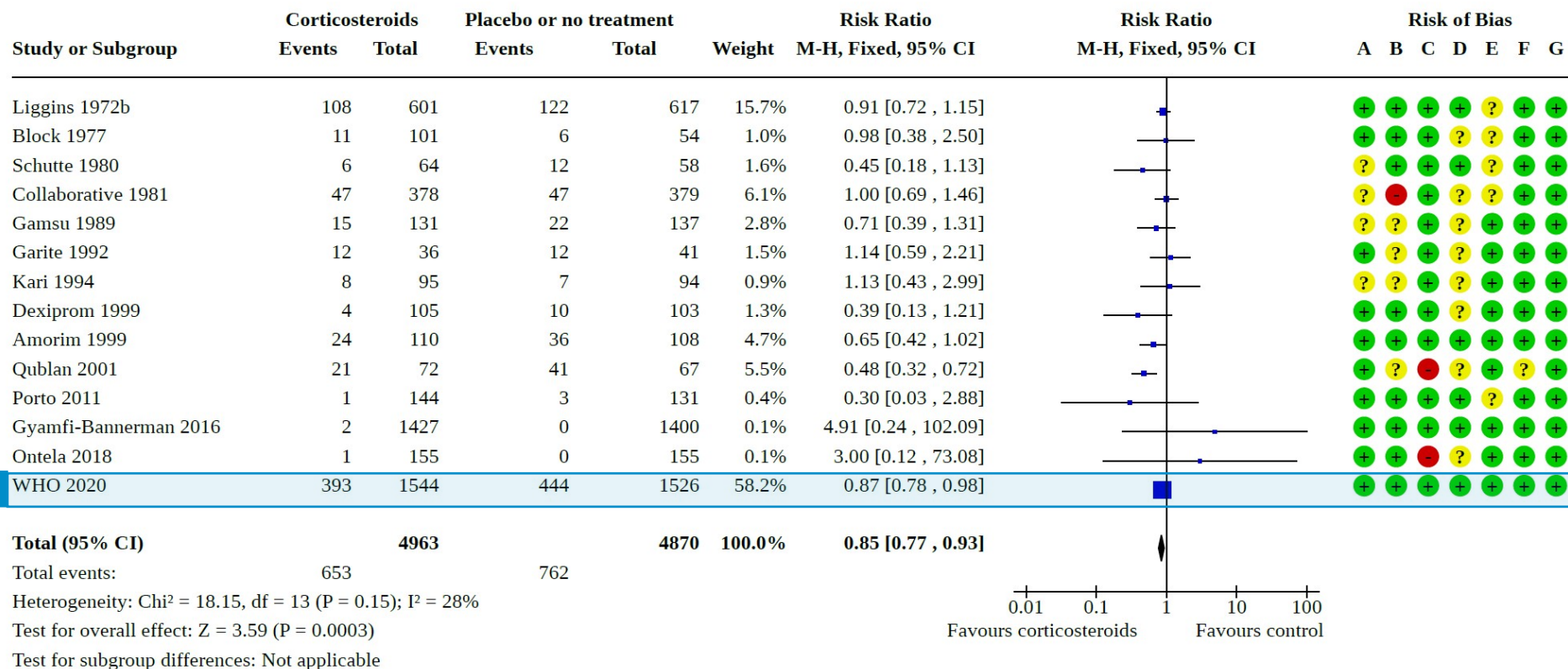
McGoldrick E, Stewart F, Parker R, Dalziel SR. Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. Cochrane Database of Systematic Reviews 2020, Issue 12. Art. No.: CD004454.  
DOI: 10.1002/14651858.CD004454.pub4.

# Updated global evidence base – stillbirth



McGoldrick E, Stewart F, Parker R, Dalziel SR. Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. Cochrane Database of Systematic Reviews 2020, Issue 12. Art. No.: CD004454.  
DOI: 10.1002/14651858.CD004454.pub4.

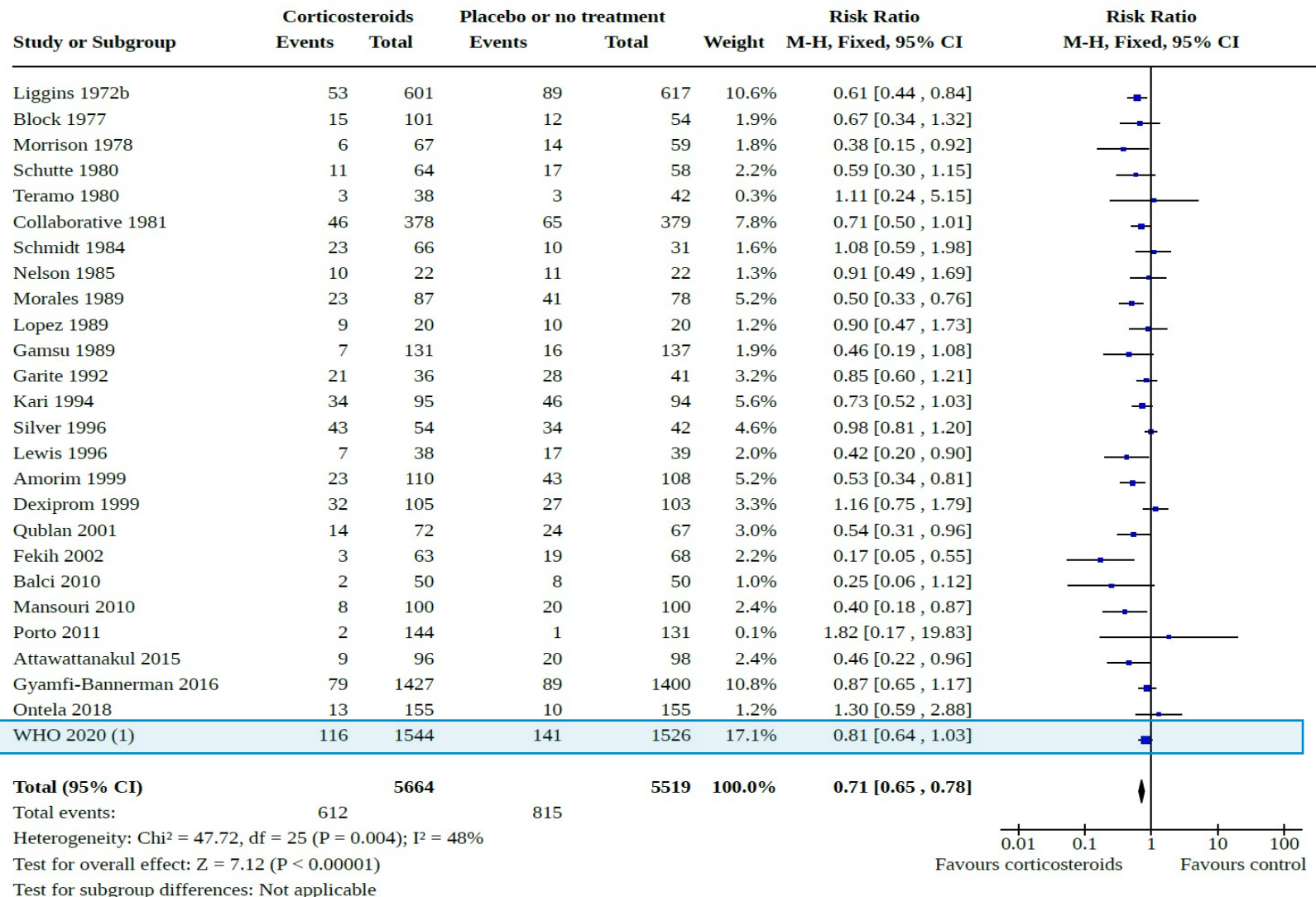
# Updated global evidence base – perinatal death











McGoldrick E, Stewart F, Parker R, Dalziel SR. Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. Cochrane Database of Systematic Reviews 2020, Issue 12. Art. No.: CD004454.  
DOI: 10.1002/14651858.CD004454.pub4.



# Updated global evidence base – RDS



# Updated global evidence base - summary

Cochrane Pregnancy and Childbirth <small>Trusted evidence. Informed decisions. Better health.</small>			Antenatal corticosteroids for women at risk of preterm birth		
<b>What is this systematic review about?</b>		<b>What are the effects of antenatal corticosteroids?</b>		<b>What does this mean?</b>	
<p>Antenatal steroids, compared with placebo or no treatment, given to pregnant women at risk of giving birth before 37 weeks.</p> <p><b>What evidence did we find?</b></p> <p><b>27 randomised trials</b> including 11,272 women</p> <div><p><b>15 trials:</b> singleton pregnancies only <b>12 trials:</b> included multiple pregnancies</p></div> <div><p><b>10 trials:</b> from middle- and lower-income countries <b>17 studies:</b> high-income countries</p></div> <div><p><b>19 studies:</b> used a single course of steroids <b>8 studies:</b> used either single course or repeated doses</p></div>		<div><b>For babies: high-certainty evidence</b></div> <div><ul style="list-style-type: none"><li>➤ 2.3% fewer perinatal deaths</li><li>➤ 2.6% fewer neonatal deaths</li><li>➤ 4.3% fewer cases of respiratory distress syndrome</li></ul><p>Little to no difference in birthweight</p></div> <div><b>For babies: moderate-certainty evidence</b></div> <div><ul style="list-style-type: none"><li>➤ 1.4% fewer cases of intraventricular haemorrhage</li></ul></div> <div><b>For mothers: moderate-certainty evidence</b></div> <div><p>Probably little to no difference in:</p><ul style="list-style-type: none"><li>➤ Maternal deaths</li><li>➤ Chorioamnionitis</li><li>➤ Endometritis</li></ul></div>		<div><p>A single course of antenatal steroids <b>reduces the risk of serious respiratory illness and death</b> in neonates in low-middle- and high- income countries.</p></div> <div><p>More detailed data are needed for certain high-risk groups (e.g. multiple pregnancies, pregnant women with diabetes or hypertension).</p></div> <div><p><b>Evidence up to date: Sept 2020</b></p></div> <div><p>Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. McGoldrick E, Stewart F, Parker R, Dabziel S. Cochrane Database of Systematic Reviews 2020. Issue 12, Art. No.: CD004454. DOI: <a href="https://doi.org/10.1002/14651858.CD004454.pub4">10.1002/14651858.CD004454.pub4</a></p></div>	

Visual summary created by Fiona Stewart  
Designed using resources from FlatIcon.com

# Implications for national policies and implementation in LMIC

- Firm government commitment to safely scale up ACS administration where ACS treatment criteria can be met
- Well planned and participatory consensus-driven processes of adaptation and implementation
- Development or updating of national guidelines and protocols based on latest research evidence
- Creation of enabling environment for safe ACS use (avoiding stock-outs, upgrading facilities for care of women and preterm newborns)
- Training of healthcare staff of determination of GA and clinical features of imminent preterm birth
- Clear referral pathways for women at risk of preterm birth should be established within and across health care facilities



**Reduced adverse events from preterm birth will only be achieved through:**

Government commitment

Updating of national guidelines

Enabling environment

Health care staff training

Network of care



# The WHO ACTION Trials Collaborators

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- Siddarth Ramji (India)
- Elizabeth Bukusi (Kenya)
- Robert Pattinson and G. Justus Hofmeyr (South Africa)

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